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3 **Origins of major archaeal clades correspond to**  
4 **gene acquisitions from bacteria**  
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7 Shijulal Nelson-Sathi<sup>1</sup>, Filipa L. Sousa<sup>1</sup>, Mayo Röttger<sup>1</sup>, Nabor Lozada-Chávez<sup>1</sup>, Thorsten  
8 Thiergart<sup>1</sup>, Arnold Janssen<sup>2</sup>, David Bryant<sup>3</sup>, Giddy Landan<sup>4</sup>, Peter Schönheit<sup>5</sup>, Bettina  
9 Siebers<sup>6</sup>, James O. McInerney<sup>7</sup>, William F. Martin<sup>1\*</sup>

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12 <sup>1</sup> Institute of Molecular Evolution, Heinrich-Heine University, 40225 Düsseldorf,  
13 Germany

14 <sup>2</sup> Mathematisches Institut, Heinrich-Heine University, 40225 Düsseldorf, Germany

15 <sup>3</sup> Department of Mathematics and Statistics, University of Otago, Dunedin, 9054,  
16 New Zealand

17 <sup>4</sup> Genomic Microbiology Group, Institute of Microbiology, Christian-Albrechts-Universität  
18 Kiel, Kiel, Germany.

19 <sup>5</sup> Institut für Allgemeine Mikrobiologie, Christian-Albrechts-Universität Kiel, Kiel,  
20 Germany.

21 <sup>6</sup> Faculty of Chemistry, Biofilm Centre, Molecular Enzyme Technology and  
22 Biochemistry, University of Duisburg-Essen, Essen, Germany

23 <sup>7</sup> Department of Biology, National University of Ireland, Maynooth, Co. Kildare,  
24 Ireland

25  
26 \*Corresponding author: [bill@hhu.de](mailto:bill@hhu.de)  
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29

30 **Abstract**

31

32 The mechanisms that underlie the origin of major prokaryotic groups are poorly understood.  
33 In principle, the origin of both species and higher taxa among prokaryotes should entail  
34 similar mechanisms — ecological interactions with the environment paired with natural  
35 genetic variation involving lineage-specific gene innovations and lineage-specific gene  
36 acquisitions<sup>1,2,3,4</sup>. To investigate the origin of higher taxa in archaea, we have determined  
37 gene distributions and gene phylogenies for the 267,568 protein coding genes of 134  
38 sequenced archaeal genomes in the context of their homologs from 1,847 reference bacterial  
39 genomes. Archaea-specific gene families define 13 traditionally recognized archaeal higher  
40 taxa in our sample. Here we report that the origins of these 13 groups unexpectedly  
41 correspond to 2,264 group-specific gene acquisitions from bacteria. Interdomain gene  
42 transfer is highly asymmetric, transfers from bacteria to archaea are more than 5-fold more  
43 frequent than vice versa. Gene transfers identified at major evolutionary transitions among  
44 prokaryotes specifically implicate gene acquisitions for metabolic functions from bacteria as  
45 key innovations in the origin of higher archaeal taxa.

46

47 Genome evolution in prokaryotes entails both tree-like components generated by vertical  
48 descent and network-like components generated by lateral gene transfer (LGT)<sup>5,6</sup>. Both  
49 processes operate in the formation of prokaryotic species<sup>1,2,3,4,5,6</sup>. While it is clear that LGT  
50 within prokaryotic groups such as cyanobacteria<sup>7</sup>, proteobacteria<sup>8</sup> or halophiles<sup>9</sup> is important  
51 in genome evolution, the contribution of LGT to the formation of novel prokaryotic groups at  
52 higher taxonomic levels is unknown. Prokaryotic higher taxa are recognized and defined by  
53 rRNA phylogenetics<sup>10</sup>, their existence is supported by phylogenomic studies of informational  
54 genes<sup>11</sup> that are universal to all genomes, or nearly so<sup>12</sup>. Such core genes encode about 30-40  
55 proteins for ribosome biogenesis and information processing functions, but they comprise  
56 only about 1% of an average genome. While core phylogenomics studies provide useful  
57 prokaryotic classifications<sup>13</sup>, they give little insight into the remaining 99% of the genome,  
58 because of LGT<sup>14</sup>. The core does not predict gene content across a given prokaryotic group,  
59 especially in groups with large pangenomes or broad ecological diversity<sup>1,4</sup>, nor does the core  
60 itself reveal which gene innovations underlie the origin of major groups.

61 To examine the relationship between gene distributions and the origins of higher taxa  
62 among archaea, we clustered all 267,568 proteins encoded in 134 archaeal chromosomes  
63 using the Markov Cluster Algorithm (MCL)<sup>15</sup> at a  $\geq 25\%$  global amino acid identity

64 threshold, thereby generating 25,762 archaeal protein families having  $\geq 2$  members. Clusters  
65 below that sequence identity threshold were not considered further. Among the 25,762  
66 archaeal clusters, two thirds (16,983) are archaeal specific — they detect no homologs among  
67 1,847 bacterial genomes. The presence of these archaea-specific genes in each of the 134  
68 archaeal genomes is plotted in Fig. 1 against an unrooted reference tree (left panel)  
69 constructed from a concatenated alignment of the 70 single copy genes universal to archaea  
70 sampled. The gene distributions strongly correspond to the 13 recognized archaeal higher  
71 taxa present in our sample, with 14,416 families (85%) occurring in members of only one of  
72 the 13 groups indicated and 1,545 (11%) occurring in members of two groups only (Fig. 1).  
73 Another 4% of archaea-specific clusters are present in more than two groups, and 0.3% are  
74 present in all genomes sampled (Fig. 1).

75 The remaining one third of the archaeal families (8,779 families) have homologs that  
76 are present in anywhere from one to 1,495 bacterial genomes. The number of genes that each  
77 archaeal genome shares with 1,847 bacterial genomes and which bacterial genomes harbor  
78 those homologs is shown in the gene sharing matrix (Extended Data Fig. 1), which reveals  
79 major differences in the per-genome frequency of bacterial gene occurrences across archaeal  
80 lineages. We generated alignments and maximum likelihood trees for those 8,471 archaeal  
81 families having bacterial counterparts and containing  $\geq 4$  taxa. In 4,397 trees the archaeal  
82 sequences were monophyletic (Fig. 2), while in the remaining 4,074 trees the archaea were  
83 not monophyletic, interleaving with bacterial sequences. For all trees, we plotted the  
84 distribution of gene presence or absence data across archaeal taxa onto the reference tree.

85 Among the 4,397 cases of archaeal monophyly, 1,053 trees contained sequences from  
86 only one bacterial genome or bacterial phylum (Extended Data Figure 2), a distribution  
87 indicating gene export from archaea to bacteria. In the remaining 3,315 trees (Supplementary  
88 Table 3), the monophyletic archaea were nested within a broad bacterial gene distribution  
89 spanning many phyla. For 2,264 of those trees, the genes occur specifically in only one  
90 higher archaeal taxon (left portion of Fig. 2), but at the same time they are very widespread  
91 among diverse bacteria (lower panel of Fig. 2), clearly indicating that they are archaeal  
92 acquisitions from bacteria, or imports. Among the 2,264 imports, genes involved in  
93 metabolism (39%) are the most frequent (Supplementary Table 2).

94 Like the archaea-specific genes in Fig. 1, the imports in Fig. 2 correspond to the 13  
95 archaeal groups. Does the origin of these groups coincide with the acquisition of the imports?  
96 If the imports were acquired at the origin of each group, their set of phylogenies should be

97 similar to the set of phylogenies for the archaea-specific, or recipient, genes (Fig. 1) from the  
98 same group. As an alternative to single origin to account for monophyly, the imports might  
99 have been acquired in one lineage and then spread through the group, in which case the  
100 recipient and import tree sets should differ. Using Kolmogorov-Smirnov test adapted to non-  
101 identical leaf sets, we could not reject the null hypothesis  $H_0$  that the import and recipient tree  
102 sets were drawn from the same distribution for six of the 13 higher taxa: Thermoproteales ( $P$   
103 = 0.32), Desulfurococcales ( $P = 0.3$ ), Methanobacteriales ( $P = 0.96$ ), Methanococcales ( $P =$   
104 0.19), Methanosarcinales ( $P = 0.16$ ), and Haloarchaea ( $P = 0.22$ ), while the slightest possible  
105 perturbation of the import set, one random prune and graft LGT event per tree, did reject  $H_0$   
106 at  $P < 0.002$  in those six cases, very strongly ( $P < 10^{-42}$ ) for the Haloarchaea, where the  
107 largest tree sample is available (Extended Data Fig. 3, Extended Data Table 1). For these six  
108 archaeal higher taxa, the origin of their group-specific bacterial genes and the origin of the  
109 group are indistinguishable.

110 In 4,074 trees, the archaea were not monophyletic (Extended Data Fig. 4;  
111 Supplementary Table 4-5). Transfers in these phylogenies are not readily polarized and were  
112 scored neither as imports nor exports. Importantly, if we plot the gene distributions sorted for  
113 bacterial groups, rather than for archaeal groups, we do not find similar patterns such as those  
114 defining the 13 archaeal groups. That is, we do not detect patterns that would correspond to  
115 the acquisition of archaeal genes at the origin of bacterial groups (Extended Data Fig. 5),  
116 indicating that gene transfers from archaea to bacteria, though they clearly do occur, do not  
117 correspond to the origin of major bacterial groups sampled here.

118 In archaeal systematics, Haloarchaea, Archaeoglobales, and Thermoplasmatales  
119 branch within the methanogens<sup>13,16</sup>, as in our reference tree (Fig. 2). All three groups hence  
120 derive from methanogenic ancestors. Previous studies have identified a large influx of  
121 bacterial genes into the halophile common ancestor<sup>17</sup>, and gene fluxes between archaea at the  
122 origin of these major clades<sup>16</sup>. Fig. 2 shows that the acquisition of bacterial genes  
123 corresponds to the origin of these three groups from methanogenic ancestors, all of which  
124 have relinquished methanogenesis and harbour organotrophic forms<sup>18,19</sup>. Among the 2,264  
125 bacteria-to-archaea transfers, 1,881 (83%) have been acquired by methanogens or ancestrally  
126 methanogenic lineages, which comprise 55% of the present archaeal sample.

127 Neither the archaea-specific genes nor the bacterial acquisitions showed evidence for  
128 any pattern of higher order archaeal relationships or hierarchical clustering<sup>20</sup> among the 13  
129 higher taxa, with the exception of the crenarchaeote-euryarchaeote spilt (Extended Data Fig.  
130 6). While 16,680 gene families (14,414 archaea-specific and 2,264 acquisitions) recover the

131 groups themselves, only 4% as many genes (601: 491 archaea-specific and 110 acquisitions)  
132 recover any branch in the reference phylogeny linking those groups (Extended Data Fig. 7).

133 For 7,379 families present in 2-12 groups, we examined all 6,081,075 possible trees  
134 that preserve the crenarchaeote-euryarchaeote split by coding each group as an OTU  
135 (operational taxonomic unit) and scoring gene presence in one member of a group as present  
136 in the group. A random tree can account for 569 (8%) of the families, the best tree can  
137 account for 1,180 families (16%), while the reference tree accounts for 849 (11%) of the  
138 families (Extended Data Fig. 8). Thus, the gene distributions conflict with all trees and do not  
139 support a hierarchical relationship among groups.

140 Figure 3 shows the phylogenetic structure (gray branches) that is recovered by the  
141 individual phylogenies of the 70 genes that were used to make the reference tree. It reveals a  
142 tree of tips<sup>21</sup> in that, for deeper branches, no individual gene tree manifests the deeper  
143 branches of the concatenation tree. Even the crenarchaeote-euryarchaeote split is not  
144 recovered because of the inconsistent position of Thaumarchaea and Nanoarchaea. Projected  
145 upon the tree of tips are the bacterial acquisitions that correspond to the origin of the 13  
146 archaeal groups studied here.

147 The direction of transfers between the two prokaryotic domains is highly asymmetric.  
148 The 2,264 imports plotted in Fig. 3 are transfers from bacteria to archaea, occurring only in  
149 one archaeal group (Extended Data Table 2, Supplementary Table 6). Yet only 391 converse  
150 transfers, exports from archaea to bacteria, were observed (Extended Data Table 2), the  
151 bacterial genomes most frequently receiving archaeal genes occurring in Thermotogae  
152 (Supplementary Table 7). Transfers from bacteria to archaea are thus >5-fold more frequent  
153 than *vice versa*, yet sample-scaled for equal number of bacterial and archaeal genomes,  
154 transfers from bacteria to archaea are 10.7-fold more frequent (see Supplementary  
155 Information). The bacteria-to-archaea transfers comprise predominantly metabolic functions,  
156 with amino acid import and metabolism (208 genes), energy production and conversion (175  
157 genes), inorganic ion transport and metabolism (123 genes) and carbohydrate transport and  
158 metabolism (139 genes) being the four most frequent functional classifications (Extended  
159 Data Table 2).

160 The extreme asymmetry in interdomain gene transfers likely relates to the specialized  
161 lifestyle of methanogens, which served as recipients for 83% of the polarized gene transfers  
162 observed (Supplementary Table 8). Hydrogen-dependent methanogens are specialized  
163 chemolithoautotrophs, the route to more generalist organotrophic lifestyles that are not H<sub>2</sub>-  
164 CO<sub>2</sub> dependent entails either gene invention or gene acquisition. For Haloarchaea,

165 Archaeoglobales and Thermoplasmatales, gene acquisition from bacteria provided the key  
166 innovations that transformed methanogenic ancestors into founders of novel higher taxa with  
167 access to new niches, whereby several methanogen lineages have acquired numerous  
168 bacterial genes<sup>22</sup> but have retained the methanogenic lifestyle.

169 Gene transfers from bacteria to archaea not only underpin the origin of major archaeal  
170 groups, they also underpin the origin of eukaryotes, because the host that acquired the  
171 mitochondrion was, phylogenetically, an archaeon<sup>23,24</sup>. Our current findings support the  
172 theory of rapid expansion and slow reduction currently emerging from studies of genome  
173 evolution<sup>25</sup>. Subsequent to genome expansion via acquisition, lineage-specific gene loss  
174 predominates, as evident in Figs. 1 and 2. In principle, the bacterial genes that correspond to  
175 the origin of major archaeal groups could have been acquired by independent LGT events<sup>9,14</sup>,  
176 via unique combinations in founder lineage pangenomes<sup>3,4</sup>, or via mass transfers involving  
177 symbiotic associations, similar to the origin of eukaryotes<sup>23,24</sup>. For lineages in which the  
178 origin of bacterial genes and the origin of the higher archaeal taxon are indistinguishable, the  
179 latter two mechanisms seem more likely.

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183 **Figure 1: Distribution of genes in archaea-specific families.** Maximum-likelihood (ML)  
184 trees were generated for 16,983 archaea-specific clusters. Ticks indicate presence (black) or  
185 absence (white) of genes in genomes within groups indicated on the left. The number of trees  
186 containing taxa specific to each group is indicated at top. To generate clusters, 134 archaeal  
187 and 1,847 bacterial genomes were downloaded from the NCBI website  
188 [www.ncbi.nlm.nih.gov, version June 2012]. An all-against-all BLAST<sup>26</sup> of archaeal proteins  
189 yielded 11,372,438 reciprocal best BLAST hits<sup>27</sup> (rBBH) having an e-value  $<10^{-10}$  and  $\geq 25\%$   
190 local amino acid identity. These protein pairs were globally aligned using the Needleman-  
191 Wunsch algorithm<sup>28</sup> resulting in a total of 10,382,314 protein pairs (267,568 proteins,  
192 86.6%). These 267,568 proteins were clustered into 25,762 families using the standard  
193 Markov Chain clustering procedure<sup>15</sup>. There were 41,560 archaeal proteins (13.4% of the  
194 total) that did not have archaeal homologs, these were classified as singletons and excluded  
195 from further analysis. The 23 bacterial groups were defined using phylum names except for  
196 Firmicutes and Proteobacteria. All 25,752 archaeal protein families were aligned using  
197 MAFFT<sup>29</sup> (version v6.864b). Archaeal specific gene families were defined as those that lack  
198 bacterial homologs at the e-value  $<10^{-10}$  and  $\geq 25\%$  global amino acid identity threshold. For  
199 those archaeal clusters having hits in multiple bacterial strains of a species, only the most  
200 similar sequence among the strains was considered for the alignment. Maximum likelihood  
201 trees were reconstructed using RAxML<sup>30</sup> program for all cases where the alignment had four  
202 or more protein sequences. Archaeal species, named in order, are given in Supplementary  
203 Table 1. Clusters, including gene identifiers and corresponding COG functional annotations,  
204 are given in Supplementary Table 2. The unrooted reference tree at left was constructed as  
205 described in Fig. 2.

206

207 **Figure 2: Bacterial gene acquisitions in archaeal genomes.** Upper panel ticks indicate  
208 gene presence in the 3,315 ML trees in which archaea are monophyletic. Archaeal genomes  
209 listed as in Fig. 1. The lower panel shows the occurrence of homologs among bacterial  
210 groups. Gene identifiers including functional annotations are given in Supplementary Table  
211 2. The number of trees containing taxa specific to each archaeal group (or groups) is  
212 indicated at top. The *Methanopyrus kandleri* branch (dot) subtends all methanogens in the  
213 tree. The 56 genes at right occur in all 13 groups and were likely present in the prokaryote  
214 common ancestor. Bacterial homologs of archaeal protein families were identified as  
215 described in Figure 1 (rBBH and  $\geq 25\%$  global identity), yielding 8,779 archaeal families  
216 having one or more bacterial homologs. An archaeal reference tree was constructed from a

217 weighted concatenation alignment<sup>29</sup> of 70 archaeal single copy genes using RAxML<sup>30</sup>. The  
218 70 genes used to construct the unrooted reference tree are *rpsJ*, *rpsK*, *rps15p*, *rpsQ*, *rps19e*,  
219 *rpsB*, *rps28e*, *rpsD*, *rps4e*, *rpsE*, *rps7*, *rpsH*, *rpl*, *rpl15*, *rpsC*, *rplP*, *rpl18p*, *rplR*, *rplK*, *rplU*,  
220 *rl22*, *rpl24*, *rplW*, *rpl30P*, *rplC*, *rpl4lp*, *rplE*, *rpl7ae*, *rplB*, *rpsM*, *rpsH*, *rplF*, *rpsS*, *rpsI*,  
221 *rimM*, *gsp-3*, *rli*, *rpoE*, *rpoA*, *rpoB*, *dnaG*, *recA*, *drg*, *yyaF*, *gcp*, *hisS*, *map*, *metG*, *trm*, *pheS*,  
222 *pheT*, *riol1*, *ansA*, *flpA*, *gate*, *glyS*, *rplA*, *infB*, *arf1*, *pth*, *SecY*, *proS*, *rnhB*, *rfcL*, *rnz*, *cca*,  
223 *EIF2A*, *EIF5a*, *EIF2G*, *valS*.

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226 **Figure 3: Archaeal gene acquisition network.** Vertical edges represent the archaeal  
227 reference phylogeny in Fig. 1 based on 70 concatenated genes, gray shading indicates how  
228 often the branch was recovered by the 70 genes analyzed individually. The vertical edge  
229 weight of each branch in the reference tree (scale bar at left) was calculated as the number of  
230 times associated node was present within the single gene trees (see Source Data). Lateral  
231 edges indicate 2,264 bacterial acquisitions in archaea. The number of acquisitions per group  
232 is indicated in parentheses, the number of times the bacterial taxon appeared within the  
233 inferred donor clade is color coded (scale bar at right). The strongest lateral edge links  
234 Haloarchaea with Actinobacteria. Archaea were arbitrarily rooted on the Korarchaeota branch  
235 (dotted line). Bacterial taxon labels are (from left to right) Chlorobi, Bacteroidetes,  
236 Acidobacteria, Chlamydiae, Planctomycetes, Spirochaetes,  $\epsilon$ -Proteobacteria,  $\delta$ -  
237 Proteobacteria,  $\beta$ -Proteobacteria,  $\gamma$ -Proteobacteria,  $\alpha$ -Proteobacteria, Actinobacteria, Bacilli,  
238 Tenericutes, Negativicutes, Clostridia, Cyanobacteria, Chloroflexi, Deinococcus-  
239 Thermococcus, Fusobacteria, Aquificae, Thermotogae. The order of archaeal genomes (from  
240 left to right) is as in Fig. 1 (from bottom to top).

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246 **References**

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**Supplemental Information** is linked to the online version of the paper at [www.nature.com/nature](http://www.nature.com/nature).

### **Acknowledgements**

We gratefully acknowledge funding from European Research Council (ERC 232975 to W.F.M.), the graduate school E-Norm of the University of Düsseldorf (W.F.M.), the DFG (Scho 316/11-1 to P.S.; SI 642/10-1 to B.S.), and BMBF (0316188A, B.S). G.L. is supported by an ERC grant (281357 to Tal Dagan), D.B. thanks the Alexander von Humboldt Foundation for a Fellowship. Computational support of the Zentrum für Informations- und Medientechnologie (ZIM) at the University of Düsseldorf is gratefully acknowledged.

### **Author Contributions**

S.N.-S., F.L.S., M.R., N.L.-C., and T.T. performed bioinformatic analyses; A.J., D.B., and G.L. performed statistical analyses; P.S., B.S., J.O.M., and W.F.M. interpreted results; S.N.-S., F.L.S., G.L., J.O.M., and W.F.M. wrote the paper; S.N.-S., G.L., and W.F.M. designed the study. All authors discussed the results and commented on the manuscript.

### **Author Information**

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351 **Extended Data Figure Legends**

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353 **Extended Data Figure 1: Inter-domain gene sharing network.** Each cell in the matrix  
354 indicates the number of genes (e-value  $\leq 10^{-10}$  and  $\geq 25\%$  global identity) shared between 134  
355 archaeal and 1,847 bacterial genomes in each pairwise inter-domain comparison (scale bar at  
356 lower right). Archaeal genomes are listed as in Fig. 1. Bacterial genomes are presented in 23  
357 groups corresponding to phylum or class in the Genbank nomenclature: *a* = Clostridia; *b* =  
358 Erysipelotrichi, Negativicutes; *c* = Bacilli; *d* = Firmicutes; *e* = Chlamydia; *f* =  
359 Verrucomicrobia, Planctomycete; *g* = Spirochaete; *h* = Gemmatimonadetes, Synergistetes,  
360 Elusimicrobia, Dyctyoglomi, Nitrospirae; *i* = Actinobacteria; *j* = Fibrobacter, Chlorobi; *k* =  
361 Bacteroidetes; *l* = Fusobacteria; Thermatogae, Aquificae, Chloroflexi; *m* = Deinococcus-  
362 Thermus; *n* = Cyanobacteria; *o* = Acidobacteria;  $\delta, \epsilon, \alpha, \beta, \gamma$  = Delta, Epsilon, Alpha, Beta and  
363 Gamma proteobacteria; *p* = Thermosulfurobacteria, Caldiseptica, Chysiogete,  
364 Ignavibacteria. Bacterial genome size in number of proteins is indicated at top.

365

366 **Extended Data Figure 2: Presence absence patterns of archaeal genes with sparse**  
367 **distribution among bacteria sampled.** Archaeal export families are sorted according to the  
368 reference tree on the left. The figure shows the 391 cases of archaea to bacteria export ( $\geq 2$   
369 archaea and  $\geq 2$  bacteria from one phylum only), 662 cases of bacterial singleton trees ( $\geq 3$   
370 archaea, one bacterium). The 25,762 clusters were classified into the following categories  
371 (Supplementary Table 2): 16,983 archaeal specific, 3,315 imports, 391 exports, 662 cases of  
372 bacterial singletons with  $\geq 3$  archaea in the tree, 308 cases with three sequences (a bacterial  
373 singleton and 2 archaea) in the cluster, 4,074 trees in which archaea were non-monophyletic,  
374 and 29 ambiguous cases among trees showing archaeal monophyly. The bacterial taxonomic  
375 distribution shown in the lower panel. Gene identifiers and trees are given in Supplemental  
376 Table 3.

377

378 **Extended Data Figure 3: Comparison of sets of trees for single-copy genes in 11 archaeal**  
379 **groups. Cumulative distribution functions for scores of tree compatibility with the recipient**  
380 **dataset. Values are *P*-values of the two-sided Kolmogorov–Smirnov two-sample goodness-**  
381 **of-fit in the comparison of the *Recipient* (blue) datasets against the *Imports* (green) dataset**  
382 **and three synthetic datasets, *One-LGT* (red), *Two-LGT* (pink) and *Random* (cyan). **a,****  
383 **Thermoproteales **b,** Desulfurococcales **c,** Sulfolobales, **d,** Thermococcales **e,****

384 Methanobacteriales **f**, Methanococcales **g**, Thermoplasmatales **h**, Archaeoglobales **i**,  
385 Methanococcales **j**, Methanosarcinales **k**, Halobacteriales.

386

387 **Extended Data Figure 4: Presence absence patterns of all archaeal non-monophyletic**  
388 **genes.** Archaeal families that did not generate monophyly for archaeal sequences in ML trees  
389 are plotted according the reference tree on left, the distribution across bacterial genomes  
390 groups is shown in the lower panel. These trees include 693 cases in which archaea showed  
391 non-monophyly by the misplacement of a single archaeal branch. Gene identifiers and trees  
392 are given in Supplemental Table 4-5.

393

394 **Extended Data Figure 5: Sorting by bacterial presence absence patterns for archaeal**  
395 **imports, exports and archaeal non-monophyletic families.** Archaeal families and their  
396 homologue distribution in 1,847 bacterial genomes are sorted by archaeal (top) and bacterial  
397 (bottom) gene distributions for direct comparison. Distributions of archaeal imports sorted by  
398 archaeal groups (**a**) and by bacterial groups (**b**); distributions of archaeal exports sorted by  
399 archaeal groups (**c**) and by bacterial groups (**d**); distributions of archaeal non-monophyletic  
400 gene families sorted by archaeal groups (**e**) and by bacterial groups (**f**).

401

402 **Extended Data Figure 6: Testing for evidence of higher order archaeal relationships**  
403 **using a permutation tail probability (PTP) test.** Comparison of pairwise Euclidian distance  
404 distributions between archaeal real and conditional random gene family patterns. **a, Archaeal**  
405 **specific families:** Distribution of 2,471 archaeal specific families present in at least 2 and less  
406 than 11 groups (top), Comparison between real data and conditional random patterns  
407 generated by shuffling the entries within Crenarchaeota and Euryarchaeota separately,  
408 Comparison between real data and conditional random patterns generated by including  
409 Nanoarchaea and Thaumarchaea into Crenarchaeota (middle) or into Euryarchaeota (bottom).  
410 **b, Archaeal import families:** Distribution of 989 archaeal import families present in at least  
411 2 and less than 11 groups (top). Comparison between real data and conditional random  
412 patterns generated by shuffling the entries within Crenarchaeota and Euryarchaeota  
413 separately by including Nanoarchaea and Thaumarchaea into Crenarchaeota (middle), iii)  
414 Comparison between real data and random patterns generated by including Nanoarchaea and  
415 Thaumarchaea into Euryarchaeota (bottom).

416

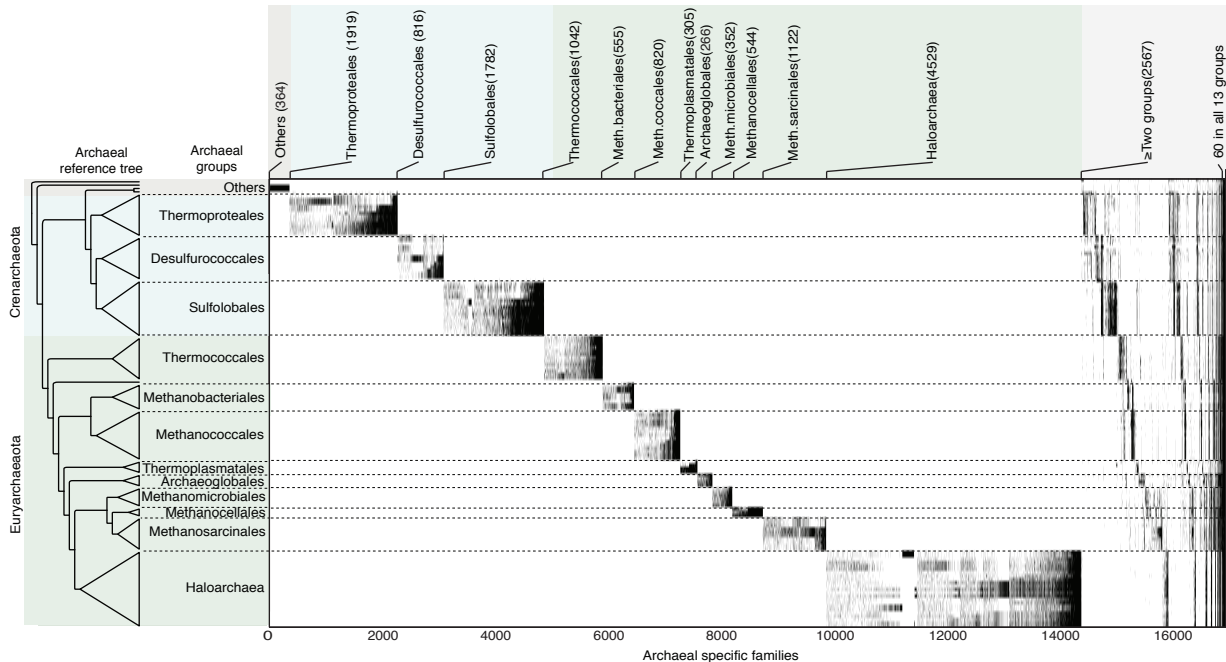
417 **Extended Data Figure 7: Archaeal specific and import gene counts on a reference tree.**  
418 Number of archaeal specific and import families corresponding to each node in the reference  
419 tree are shown in the order of ‘specific/imports’. Numbers at internal nodes indicate the  
420 number of archaeal-specific families and families with bacterial homologues that correspond  
421 to the reference tree topology. Values at the left indicate the number of archaeal-specific  
422 families and families with bacterial homologues that are present in all archaeal groups.

423  
424 **Extended Data Figure 8: Non tree-like structure of archaeal protein families.** Proportion  
425 of archaeal families whose distributions are congruent with the reference tree and with all  
426 possible trees. Filled circles indicate the proportion of archaeal families that are congruent to  
427 the reference tree allowing no losses (with a single origin) and different increments of losses  
428 allowed. Red, blue, green, magenta and black circles represent the proportion of families that  
429 can be explained using a single origin (849, 11.5%), single origin + 1 loss (22.4%), single  
430 origin + 2 losses (15%), single origin + 3 losses (13%) and single origin +  $\geq 4$  losses (38%)  
431 respectively. Lines indicate the proportion of families that can be explained by each of  
432 the 60,81,075 possible trees that preserve euryarchaeote and crenarchaeote monophyly. Note  
433 that on average, any given tree can explain 569 (8%) of the archaeal families using a single  
434 origin event in the tree, and the best tree can explain only 1,180 families (16%). In the  
435 present data, 208,019 trees explain the gene distributions better than the archaeal reference  
436 tree without loss events, underscoring the discordance between core gene phylogeny and  
437 gene distributions in the remainder of the genome.

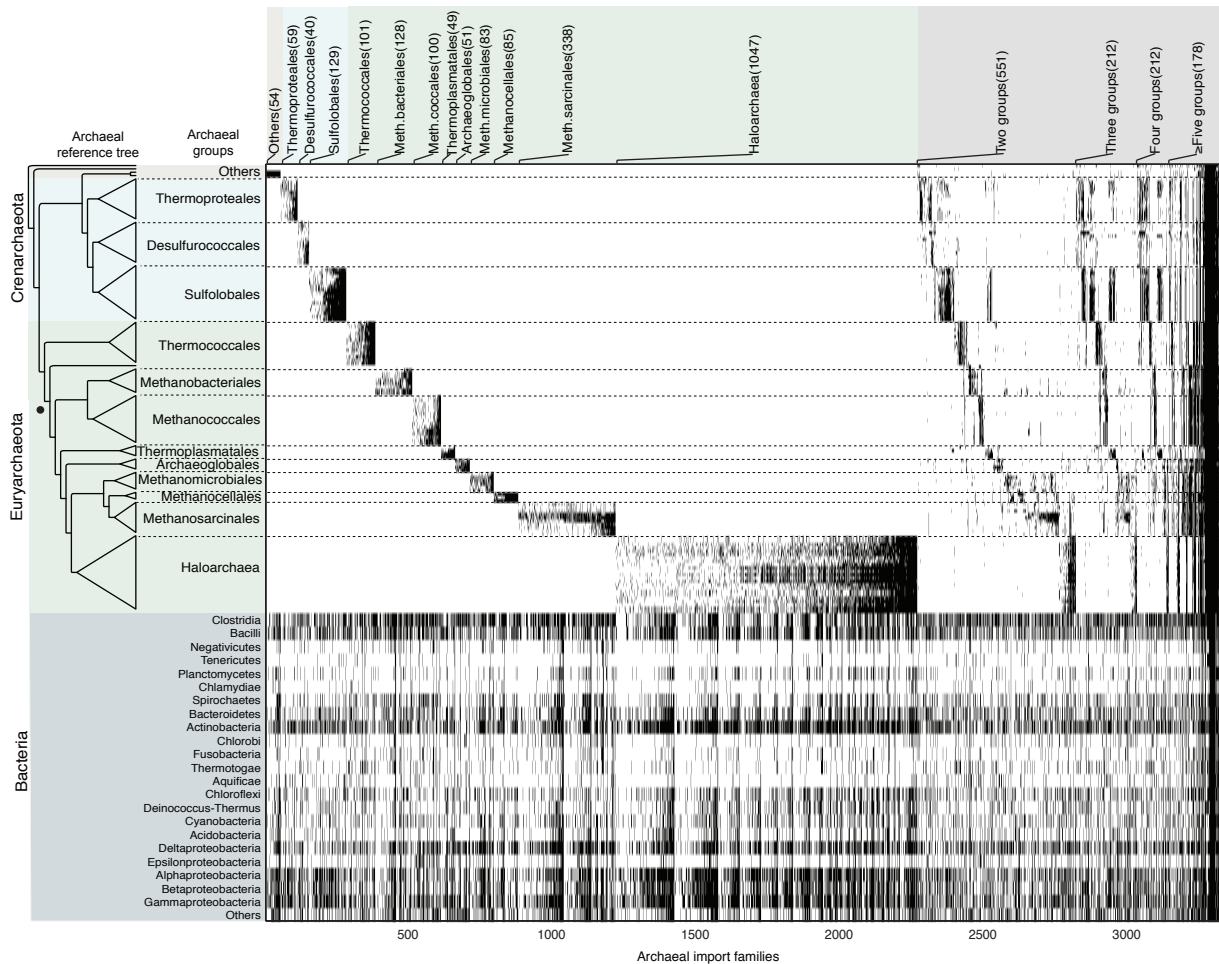
438  
439 **Extended Data Table 1: Comparison of sets of trees for single-copy genes in 11 archaeal**  
440 **groups.** Values are *P*-values of the Kolmogorov–Smirnov two-sample goodness-of-fit test  
441 operating on scores of tree compatibility with the recipient dataset.

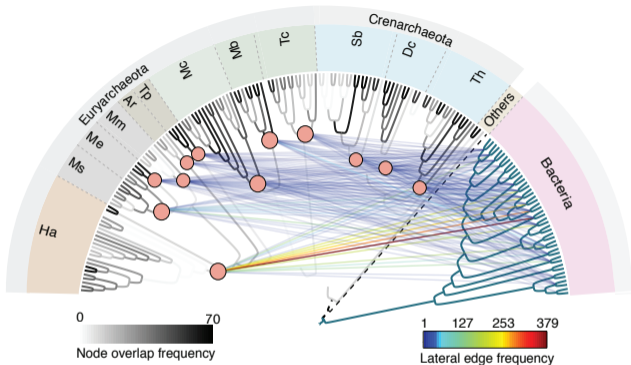
442  
443 **Extended Data Table 2: Functional annotations for archaeal genes according to gene**  
444 **family distribution and phylogeny.** Specific: genes that occur in at least two archaea but no  
445 bacteria in our clusters. M: archaeal genes that have bacterial homologs and the archaea ( $\geq 2$   
446 genomes) are monophyletic. NM: archaeal genes that have bacterial homologs but the  
447 archaea ( $\geq 2$  genomes) are not monophyletic. Exp: exports, the gene occurs in  $\geq 2$  archaea but  
448 with extremely restricted distribution among bacteria (Supplementary Table 6). Imp: imports,  
449 archaeal genes with homologs that are widespread among bacterial lineages, while the

450 archaea ( $\geq 2$  genomes) are monophyletic and the archaeal gene distribution is specific to the  
451 groups shown in Figs. 1 and 2.  
452









Ha - Haloarchaea (1047)

Ms - Methanosarcinales (338)

Me - Methanocellales (83)

Mm - Methanomicrobiales (85)

Ar - Archaeoglobus (51)

Tp - Thermoplasma (49)

Mc - Methanococcales (100)

Mb - Methanobacteriales (128)

Tc - Thermococcales (101)

Sb - Sulfolobales (129)

Dc - Desulfurococcales (40)

Th - Thermoproteales (59)

Others - Korarchaeota, Nanoarchaeota and Thaumarchaeota